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4 **Simulating surface oil transport during the Deepwater Horizon oil spill:**
5 **Experiments with the BioCast system**

6 Jason Keith Jolliff^{1*} Travis A. Smith¹ Sherwin Ladner¹ Robert A. Arnone²

7 1 - Naval Research Laboratory, Oceanography Division, Stennis Space Center,
8 Mississippi

9 2 – University of Southern Mississippi, Department of Marine Science

10 *Corresponding Author: tel:+12286885308 / Fax: +12286884149; email:
11 jolliff@nrlssc.navy.mil

12 The U. S. Naval Research Laboratory (NRL) is developing nowcast/forecast
13 software systems designed to combine satellite ocean color data streams with physical
14 circulation models in order to produce prognostic fields of ocean surface materials. The
15 Deepwater Horizon oil spill in the Gulf of Mexico provided a test case for the Bio-
16 Optical Forecasting (BioCast) system to rapidly combine the latest satellite imagery of
17 the oil slick distribution with surface circulation fields in order to produce oil slick
18 transport scenarios and forecasts. In one such sequence of experiments, MODIS satellite
19 true color images were combined with high-resolution ocean circulation forecasts from
20 the Coupled Ocean-Atmosphere Mesoscale Prediction System (COAMPS®) to produce
21 96-h oil transport simulations. These oil forecasts predicted a major oil slick landfall at
22 Grand Isle, Louisiana, USA that was subsequently observed. A key driver of the landfall
23 scenario was the development of a coastal buoyancy current associated with Mississippi
24 River Delta freshwater outflow. In another series of experiments, longer-term regional
25 circulation model results were combined with oil slick source/sink scenarios to simulate
26 the observed containment of surface oil within the Gulf of Mexico. Both sets of
27 experiments underscore the importance of identifying and simulating potential

28 hydrodynamic conduits of surface oil transport. The addition of explicit sources and sinks
29 of surface oil concentrations provides a framework for increasingly complex oil spill
30 modeling efforts that extend beyond horizontal trajectory analysis.

31 Key words: oil spill model; Gulf of Mexico; ocean circulation; pollutant simulation

32 **Highlights:**

- 33 • A Eulerian approach to oil spill forecasting is applied to the DWH oil spill.
34 • Timing of oil landfall simulations was depended on a buoyancy-driven current.
35 • Longer simulations with oil decay terms demonstrate oil containment in the Gulf.

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36 **1. Introduction**

37 On 20 April 2010 the deep-sea drilling unit Deepwater Horizon (DWH) exploded
38 leading to an unprecedented discharge of oil and gas from the Macondo prospect (~77 km
39 southeast of the Mississippi River Delta; Fig. 1) into the Gulf of Mexico for the following
40 86 days. Estimates for the total amount of oil released during that period range from
41 approximately $4.8\text{-}8.3 \times 10^8$ L (Crone and Tolstoy, 2010, Leifer, 2010). This constitutes
42 the largest accidental marine oil spill in U.S. waters (Levy and Gopalakrishnan, 2010).
43 The total economic impact of the DWH oil spill is estimated to be greater than US \$8.7
44 billion (Sumaila et al., 2012).

45 The unprecedented scope of the oil spill became obvious as satellite images of the
46 surface oil emerged in the weeks immediately following the DWH blowout. For example,
47 NASA's Moderate Resolution Imaging Spectroradiometer (MODIS) sensors aboard the
48 sun-synchronous Terra and Aqua satellites provided true color images that revealed an
49 apparent oil slick distribution contaminating $> 20,000 \text{ km}^2$ of ocean surface over the
50 course of the oil spill event (Hu et al., 2011). Detection of the oil slick extent from
51 passive visible remote sensing is based on the oil slick's modification of sun glint
52 reflectance (Hu et al., 2009). Such detection methods do not provide a direct quantitative
53 assessment of oil concentration or surface oil slick thickness. Nonetheless, the
54 subsequent MODIS and other remote sensing images indicated the oil spill was unfolding
55 as a mesoscale phenomenon (on the spatial order of ~10–1000 km, and temporal duration
56 of weeks to months).

57 Of paramount concern to government agencies, resource managers, and
58 emergency responders during the DWH oil spill time period (20 April–15 July 2010) and
59 thereafter was the ultimate fate and potential landfall of the extensive offshore
60 aggregation of surface oil. Anticipation of landfall required mobilization of extensive
61 resources to deploy, for example, prophylactic oil boom-type barriers, and to stage
62 secondary defense supply stations in support of cleanup efforts (State of Louisiana,
63 2010).

64 The National Oceanic and Atmospheric Administration (NOAA) was the lead
65 U.S. Government agency for oil slick trajectory forecasting. NOAA provided nowcasts of
66 the oil slick distribution by combining aircraft overflights, satellite information, and in
67 situ observations (NOAA OR&R, 2013a). Forecasts of the oil slick distribution (24, 48,
68 and 72 h) were provided from 22 April to 23 August 2010 (*ibid.*). The forecasting was
69 accomplished via the General NOAA Oil Modeling Environment (GNOME) oil spill
70 trajectory model (Zelenke et al., 2012). The GNOME system ingests surface current
71 information from data sources and/or numerical ocean circulation models as well as an
72 initial oil contaminant distribution to project the movement of these contaminants. The
73 primary transport calculation is Lagrangian: i.e., surface oil is represented as virtual
74 “particles” that are tracked over the timescale of the simulation using two-dimensional
75 surface displacement calculations. This is the common method used in oil spill modeling
76 (e.g., Sotillo et al., 2008) and similar Lagrangian particle-based forecast methods were
77 simultaneously employed by the research and academic communities during the DWH oil
78 spill (Liu et al. 2011a; Mariano et al., 2011).

79 Here we present an alternative method to two-dimensional Lagrangian oil
80 trajectory forecasts. The BioCast system resolves a fully three-dimensional Eulerian
81 transport calculation. These calculations do not require presumptions about virtual
82 particles and instead treat oil as an idealized passive tracer. Both types of oil spill
83 modeling approaches must make assumptions about the nature of oil in water that are
84 imperfect: oil may behave as both particle aggregations and dissolved tracers depending
85 on the state of weathering, dissolution, and other specific material properties of the
86 hydrocarbons under consideration (ASCE, 1996; Leifer et al., 2006). In a fundamental
87 sense, the Lagrangian model particle (or element) is simply a point in two-dimensional
88 space and its formal representation of mass is arbitrary. This is in contrast to the Eulerian
89 methods explored herein: the mass of oil in each spatial discretization (grid cell) defines
90 the model’s state variable. This allows for explicit calculation of three-dimensional
91 material transport, weathering (transformation) of materials, and potential changes in
92 material buoyancy. Precedent for Eulerian approaches to oil spill modeling may be found
93 in Tulloch et al. (2011) and Maltrud et al. (2010). Note that in these studies the tracer is
94 described as a generic dye, whereas herein we attempt to move forward with an explicit

95 oil concentration model. With this increase in complexity, however, is the associated
96 disadvantage: the modeler's dilemma, i.e., the need to parameterize and mathematically
97 represent processes that may not be not well constrained with observations or experiment.

98 Cognizant of these and other inherent complexities, we nonetheless seek to
99 address the remaining core problems posed by operational oil transport forecasting as an
100 oil spill response tool. First, the methods required to rapidly combine satellite-based
101 estimates of the oil spill distribution with state-of-the-art ocean circulation models to
102 produce an oil spill distribution forecast are evaluated. Second, we examine how the
103 inherent reactivity of the contaminants may impact the simulated distribution over time
104 and in contrast to a scenario wherein only the physical transport is considered.

105 In this paper, two Eulerian transport approaches to oil spill simulation are
106 examined via numerical experiment to address these aforementioned problems. In the
107 first approach (Section 3), the oil transport-forecasting problem is examined in terms of a
108 passive tracer transported at the ocean's surface. Emphasis is placed on the initial spatial
109 distribution estimated from satellite data and the evolution of this distribution over the
110 ensuing 96 h. The results are examined with respect to subsequent observation of oil
111 distribution and landfall. In the second approach (Section 4), more complex computations
112 of potential hydrocarbon sources and sinks are considered. These computations are
113 performed in the context of a longer-term simulation (~18 days) of the oil spill to address
114 the timescale of decay rate processes. Accordingly, a regional ocean circulation model is
115 used to provide coastal surface current information as well as simulate the interaction of
116 the oil slick with the mesoscale circulation in the open Gulf of Mexico.

117 **2. Methods**

118 BioCast is computational software that provides for a rapid combination of ocean
119 circulation model results with a three-dimensional tracer transport-reaction simulation.
120 The flow of information is thus very similar to NOAA's GNOME oil trajectory forecasts:
121 information on surface currents must be combined with some initial state of the material
122 distribution. BioCast was developed for short-term forecasting (~ 24 h) of ocean surface
123 bio-optical properties as detected and estimated by passive remote sensing methods.

124 However, the software may be applied to any material distribution (such as oil) if the
125 initial state is provided.

126 The BioCast transport calculation maps the velocity information to a three-
127 dimensional stencil and makes minor adjustments to constrain the velocity field to
128 continuity:

129

$$-\frac{\partial w}{\partial z} = \frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} \quad (1)$$

130 where w is the vertical velocity and u, v are the horizontal velocities in a Cartesian
131 coordinate frame. Following these adjustments, transport is calculated using
132 first-order upstream differences for the advection equation (e.g., Smolarkiewicz, 1984) on
133 the three-dimensional grid. First-order numerical advection schemes are inherently
134 diffusive (*ibid.*). An analysis of the numerical diffusion inherent to our scheme yields
135 horizontal diffusivity values in the range of $\sim 50\text{--}700 \text{ m}^2 \text{ s}^{-1}$. Studies of natural
136 horizontal diffusion tend to scale with the length scale of the observations (Obuko, 1971).
137 For the length scales commensurate with the distribution of oil slicks within our model
138 domains ($\sim 50\text{--}500 \text{ km}$), estimates of horizontal diffusion are in a similar range ($\sim 50\text{--}$
139 $1000 \text{ m}^2 \text{ s}^{-1}$; Obuko, 1971; Ledwell et al., 1998).

140 Thus the BioCast transport calculation represents the advection-diffusion portion
141 of the advection-diffusion-reaction problem. The reaction portion may be modified in the
142 BioCast software or eliminated entirely based on the requirements of the problem and the
143 designs of the investigator. The reaction calculations can range from simple decay rate
144 constants to complex biogeochemical models. Here the reaction portion was modified to
145 describe positive buoyancy, and then subsequently modified to provide an oil source term
146 and to simulate oil weathering, as explained in Section 4.

147 In both series of experiments, the initial state was based on the MODIS true color
148 imaging of the oil slick distribution on 11 May 2010 (Fig. 2a). As mentioned above, the
149 apparent ocean surface discoloration is based largely on changes in sun glint reflectance
150 due to the oil slick's presence; the varying angular dependence of sun glint makes
151 quantitative retrieval of oil slick thickness or quantity from these images very difficult
152 (Hu et al., 2009). Accordingly, the image was decomposed to develop a novel algorithm

153 for determining the spatial extent of the oil slick. Image pixels where oil is presumed to
154 be present (based strictly on the apparent contrast with the surrounding open ocean image
155 pixels) are analyzed for the scaled red (R) and green (G) image values (ranging from 1-
156 255). This is repeated (~10 times) to develop an approximate range of values for
157 apparent oil-influenced surface water discoloration in the true color image. Once a set of
158 thresholds is established, all of the oil-containing pixels are identified via automated
159 image processing software. This procedure must be repeated and adjusted for any new
160 RGB image because the RGB true color data processing will render different scaled RGB
161 values based on the amount of sun glint reflectance present in the raw satellite data. A
162 similar procedure was also used to remove the presence of clouds, again based on the
163 RGB values where clouds were presumed to be present. Image pixels identified as oil
164 (Fig. 2b) were then mapped to the corresponding latitude and longitude coordinates of the
165 model domain, and thereby provided a starting place for forward integration of the
166 transport computations (Fig. 3). Additional steps to initialize the surface oil concentration
167 on a more quantitative basis are explained in Section 4.

168 There is uncertainty in this initial surface oil distribution. Other concurrent
169 satellite analyses depict additional surface oil west and north of our estimate (Hu, 2010),
170 and potentially smaller oil slicks detached from the main bolus near the DWH site
171 (NOAA/NESDIS, 2013). We note that synthetic aperture radar (SAR) sensors also
172 provided satellite-based estimates of surface oil slick locations during the event that may
173 have been substantially different from MODIS sun glint-based analyses (Walker et al.,
174 2012). Future work will aim towards a more comprehensive assimilation of satellite
175 information with associated uncertainty estimates into oil spill models.

176 An initial set of numerical experiments was performed using the Coupled Ocean-
177 Atmosphere Mesoscale Prediction System (COAMPS®), a nested modeling system
178 developed at the Naval Research Laboratory that allows for a two-way exchange of
179 information between the atmospheric and oceanic forecasting components. The
180 nonhydrostatic atmospheric COAMPS model component (Hodur, 1997) is the operational
181 mesoscale forecasting system for the U.S. Navy. The hydrostatic Navy Coastal Ocean
182 Model (NCOM; Martin, 2000; Barron et al., 2004; Kara et al., 2006) served as the

183 oceanic component. NCOM is the main regional oceanographic forecasting model for the
184 U.S. Naval Oceanographic Office (Rhodes et al., 2002).

185 The atmospheric and oceanic model coupling was designated via the upper-most
186 oceanic model grid cell temperature and the lowest grid cell atmospheric model variables
187 (temperature, humidity, wind velocity, pressure, and radiative fluxes). At a 6-min
188 coupling interval, bulk fluxes of heat energy exchange were calculated following the
189 Coupled Ocean-Atmosphere Response, version 3 (COARE 3.0) scheme (Fairall et al.,
190 1996). Further details of the COAMPS modeling components are listed in Small et al.
191 (2012). Verification and validation of the COAMPS forecasting system may be found
192 elsewhere (Doyle et al., 2009; Small et al., 2012); here we focus on how the forecasts of
193 surface currents from COAMPS may be used by the BioCast system to forecast surface
194 oil transport. Only the “true” hourly surface current forecast fields forward from the
195 analysis time (the initial state on 11 May 2010) were used. This means that the surface
196 current velocities were genuine forecasts of marine conditions from the modeling system;
197 i.e., no data assimilation of atmospheric or oceanic data beyond the analysis time
198 occurred.

199 The estimated surface oil distribution from the 11 May 2010 MODIS image was
200 used to initialize a relative oil concentration state variable. The oil concentration was
201 treated as a passive tracer (physical transport/no biological-chemical reactions) with no
202 additional sources beyond the initialization field. Hence the conservation equation may
203 be simply expressed as:

204

$$\frac{\partial RC}{\partial t} = - \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \right) RC + \mathfrak{B} \left(\frac{\partial C}{\partial z} \right) \quad (2)$$

205

206 The state variable, RC, is a relative concentration of oil. This value was initialized
207 as 100 where the MODIS true color threshold-based algorithm suggested the presence of
208 oil.

209 The transport calculation treats the surface oil as a dissolved tracer. This permits
210 downwelling (downward vertical advection) as well as diffusion into grid cells beneath
211 the surface. Whereas this may indeed be the fate of some dissolving or emulsified

212 hydrocarbons, a positive buoyancy term (\mathfrak{B}) was nonetheless added to the calculation
213 (Eq. (2)) to force the simulated hydrocarbons back into the surface grid cell. This
214 calculation does not permit downward vertical penetration of simulated oil but it will still
215 allow for surface convergence or divergence (dispersion) of materials.

216 Once again, this is in contrast to Lagrangian methods. Physical dispersion cannot
217 be explicitly defined for a single point in space subject to a horizontal displacement
218 calculation. Statistical methods must be employed to apply a probabilistic modification of
219 the trajectory; e.g., the random walk method (Proehl et al., 2005). Veracity of these
220 statistical methods generally improves with an increase in the number of representative
221 Lagrangian particles or alternatively, an ensemble of trajectory model simulations
222 (Brickman and Smith, 2002). As the number of trajectory particles tracked (or
223 ensembles) increases, however, so does the computational expense. Finally, one arrives
224 near the impractical end of that continuum and may elect to instead perform a Eulerian
225 computation that explicitly calculates the mass flux of distributed materials in a single
226 iteration. The disadvantage now is that the representation of the oil's mass as uniformly
227 distributed over the discrete spatial resolution of the model may tend to result in overly
228 dispersive transport. Here, the vertical dispersion is eliminated via a buoyancy restoring
229 term. Horizontal dispersion remains. A consequent criticism of this Eulerian framework
230 specific to oil spill modeling is that "it is practically impossible to detect exactly the oil
231 spill boundaries in a specific moment" (Lonin, 1999). This is in contrast to a spatial
232 distribution of Lagrangian elements that provides a very discrete oil spill boundary. As a
233 practical matter, however, this criticism is easily addressed. One approach is to scale the
234 Eulerian tracer field to the initial source concentration (as in Maltrud et al., 2010; and
235 here, Section 3). Another approach is to define the horizontal oil spill boundary using a
236 lower limit of detection, or threshold value (as in Section 4).

237 **3. COAMPS-based forecast results**

238 The 24-h forecast shows the lateral spreading of the initial relative concentration
239 (RC) field. The northwest corner of the oil slick is initiating contact with the Mississippi
240 River Delta (MRD; Fig. 4a). The 48-h forecast indicates this oil is being transported
241 clockwise around the Southwest Pass of the MRD and initiating landfall on the southern

242 coast of Plaquemines Parish, Louisiana and towards Barataria Bay (Fig. 4b). Some ~74 h
243 forward into the forecast period, this clockwise conduit around the MRD funnels
244 increasing amounts of the initial surface oil distribution into the Louisiana Bight to make
245 significant landfall along the outer islands of Barataria Bay, including Grand Isle and
246 southwest towards Port Fourchon (Fig. 5a). At the conclusion of the forecast period (96
247 h; the full sequence is provided in Animation 1), this pattern persists and much of the
248 remaining oil from the initial distribution is being transported westward into an apparent
249 onshore/offshore bifurcation in the surface oil distribution (Fig. 5b). Smaller amounts of
250 oil have also been transported northwest towards the Chandeleur Islands and into Breton
251 Sound. Comparatively, however, a far larger amount of the initial oil is transported into
252 the Louisiana Bight to ultimately make landfall at coastal Louisiana locations west of the
253 MRD.

254 The oil transport patterns are explained by the concurrent surface current forecasts
255 obtained from COAMPS (Fig. 6a). Large velocity surface currents ($\sim 1.3 \text{ m s}^{-1}$) are
256 moving clockwise around the MRD. This circulation feature is coherent and well-
257 established by approximately 48 h and persists through the remainder of the forecast
258 period (Fig. 6b). There is also a bifurcation in the surface flow $\sim 45 \text{ km}$ south of the MRD
259 that explains the apparent offshore/onshore divergence in the simulated surface oil
260 patterns (Fig. 6a and b).

261 This forecast of oil transport was qualitatively accurate. Oil from the DWH spill
262 was observed making landfall in the vicinity of Port Fourchon on 14 May 2010 (Schmidt,
263 2010). Ground observations reported by the Shoreline Cleanup Assessment Technique
264 (SCAT) teams indicate initial landfall of oil on 14 May 2010 along the Louisiana coast
265 from Port Fourchon to Grand Isle (NOAA OR&R, 2013b). Heavier amounts of surface
266 oil were sighted in the vicinity of Grand Isle, Louisiana on 20 May 2010 (Rioux, 2010).
267 By 23 May 2010, SCAT data indicate heavy landfall of oil occurring from Port Fourchon
268 to Barataria Bay. This was followed by some of the most significant landfall of surface
269 oil associated with the DWH event (OSAT-2, 2011). The salt marshes of Barataria Bay
270 were also some of the most severely oiled coastal habitats (Michel et al., 2013; Zengel
271 and Michel, 2013).

272 In addition to the ground observations, the forecast results are confirmed by

273 concurrent analysis of satellite imagery. NOAA National Environmental Satellite, Data
274 and Information Service (NESDIS) satellite composite analysis, which incorporates
275 MODIS data, SAR data, and other sensors (NOAA/NESDIS, 2013), verifies the
276 movement of large oil slicks into the Louisiana Bight on 17 May 2010 (Fig. 7a and b).
277 The 20 May 2010 analysis suggests the conduit around the Southwest Pass was indeed
278 persistent. Additional oil more than 45 km directly south of the MRD would also support
279 the bifurcation in surface currents depicted in the COAMPS forecast and manifest in the
280 simulated oil distributions. The 23 May 2010 NOAA/NESDIS analysis indicates surface
281 oil in Breton Sound and around the Chandeleur Islands (Fig. 7c). SCAT data confirm
282 concurrent landfall in Chandeleur Sound. The 23 May NOAA/NESDIS analysis also
283 depicts oil entering Barataria and Terrebonne Bays (Fig. 7c).

284 Given the qualitative agreement between forecast and observations, it is probable
285 that the forecast surface current fields have some fidelity to genuine surface currents
286 between 11 and 19 May 2010. However, our forecasts based on the 11 May 2010
287 initialization apparently accelerated the landfall of significant surface oil slicks upon
288 Grand Isle, Louisiana and vicinity to 14 May 2010, whereas observations suggest heavy
289 landfall of oil did not truly commence until ~19-20 May and thereafter. The SCAT data
290 record of landfall in these areas on 14 May 2010 is documented as “very light,” i.e.,
291 consisting of isolated pockets of tar balls and scattered emulsified oil aggregations. Other
292 ground observations verify this description (Schmidt, 2010). More severe categories of
293 land surface oiling appear to commence in the SCAT record around 20 May 2010. Part of
294 the temporal discrepancy between our forecast and observations may be due to oil
295 weathering and the application of dispersants. These processes were not represented in
296 these COAMPS-based forecasting experiments. Another possibility is that landfall of oil
297 is a process that is distinct from shoreward progression and its simulation requires model
298 parameterizations of winds, surface waves, and littoral tidal processes below the spatial
299 resolution of our models.

300 Another potential source of the temporal mismatch may be associated with the
301 development and intensification of a buoyancy current along the MRD and the upper
302 Louisiana Bight. Generally, buoyant spreading of low salinity water from a river
303 mouth/delta or estuary in the Northern Hemisphere will propagate with land to the right

304 (looking down current) (Simpson, 1997). Along the Louisiana Bight and Louisiana-Texas
305 coasts, this recurrent coastal circulation feature, augmented by southeasterly winds, is
306 known as the Louisiana Coastal Current (LCC) (Wiseman and Dinnel, 1988).

307 Mississippi River discharge data (Tarbert Landing, MS) provided by the United
308 States Army Corps of Engineers indicate below historical values from 20 April to 11 May
309 2010 (Fig. 8). This may partly explain the temporal mismatch between simulated and
310 observed oil slick landfall: the simulated buoyancy current was well established by 13
311 May whereas the true currents may have been less intense until sustained freshwater
312 discharge out of the MRD was sufficient to accumulate a substantially larger buoyancy
313 plume.

314 This buoyancy current is a recurrent and characteristic feature in this area (Rouse
315 et al., 2005). It is thus highly probable that any oil spill in the vicinity of the MRD will
316 make landfall along Grand Isle, Louisiana and the adjacent coastal sections of the
317 Louisiana Bight. This landfall would encompass Plaquemines, lower Jefferson, and
318 LaFourche Parishes and would potentially propagate farther west to Terrebonne Bay (see
319 Fig. 1). Emergency managers and government agencies should be cognizant of this
320 probability.

321 **4. Regional source/sink experiments**

322 **4.1. Velocity fields and oil initialization**

323 The first series of experiments represented the surface oil as a buoyant tracer and
324 focused on a 96-h forecast within the inner nest (500 m horizontal resolution) of a nested
325 ocean modeling domain. For a second set of numerical experiments, the domain was
326 expanded to include the entire Gulf of Mexico and incorporate results from a regional
327 ocean circulation model. The Intra-Americas Seas Nowcast/Forecast System (IASNFS;
328 Ko et al., 2003) provided regional (~ 3 km horizontal resolution) flow fields for
329 integration into the BioCast system. IASNFS is a regional application of NCOM. The
330 Navy Operational Global Atmospheric Prediction System (NOGAPS) provided
331 atmospheric surface forcing (Rosmond, 1992).

332 These series of experiments are not true forecasts in the sense that the ocean
333 circulation model results are taken from the analysis fields. The term “analysis fields”

334 refers to the assimilation of satellite data into the modeling system via the Modular Ocean
335 Data Assimilation System (MODAS) (Fox et al., 2002). MODAS assimilates remotely-
336 sensed sea surface temperature (SST) and sea surface height (SSH) data that have been
337 optimally interpolated (Bretherton et al., 1976) onto a two-dimensional grid. Potential
338 subsurface temperature departure from a long-term climatology (U.S. Navy Master
339 Ocean Observation Database – MOODS) is then calculated based on regression
340 coefficients that derive subsurface temperature from SSH and SST. The result is a
341 synthetic three-dimensional temperature field. The combined SST and SSH assimilation
342 provides fidelity to the mesoscale dynamics in the Gulf of Mexico, which is critical to
343 forecasting the regional-scale circulation. MODAS synthetics have been previously used
344 to examine biophysical patterns in the Gulf (Jolliff et al., 2008). The archived IASNFS
345 analysis fields are more properly considered “hindcasts.”

346 Oil initialization was again based on the MODIS 11 May 2010 image. An
347 accurate quantitative estimate of surface oil concentration based solely on sun glint
348 reflectance in a satellite image is not presently feasible. However, it is reasonable to
349 presume there is some minimum threshold of oil presence at the ocean surface that must
350 be reached before any detection with passive visible remote sensing techniques may
351 occur. Modification of sun glint reflectance by surface oil suggests the presence of oil in
352 sufficient thickness to suppress short surface waves (Adamo et al., 2009). With respect
353 to operational monitoring, an oil “slick” is defined as oil of sufficient thickness to
354 dampen surface waves (NOAA OR&R, 2012). Based on charts adapted from the Bonn
355 Agreement Oil Appearance Codes (BAOAC) (*ibid.*), minimum satellite detected oil slick
356 thickness is estimated to be 2.5 μm . Note that this is different from the minimum
357 thickness visible to the human eye. Here the estimate is focused on the minimum
358 thickness for MODIS sun glint-contaminated images of surface oil. Using a standard
359 reference density for Texas crude oil (873 kg m^{-3}), the model is initialized at 2180 mg oil
360 per m^{-2} of ocean surface for those grid cells where we presume the presence of oil from
361 the MODIS true color image (Fig. 2b).

362 The initial concentration values are determined by dividing the initial per unit area
363 value ($2180 \text{ mg oil m}^{-2}$) by the depth of the surface grid cell. As before, a positive

364 buoyancy restoring term maintains the oil in the model's surface grid cells. The model
365 results are converted back to a per unit surface area basis for analysis (Fig. 9a). In reality,
366 some hydrocarbons may be dissolved whereas much remains at the surface to form slicks
367 and sheen. If one assumes all of the simulated oil per unit area in the model is at the
368 surface in the form of a surface slick, then the thickness of the slick (or sheen) may be
369 calculated using the reference density.

370 **4.2. Source term**

371 Here we consider a source term based on oil apparent at the ocean's surface. Oil
372 entering the water at depth (~1500 m) was not explicitly modeled. There are likely many
373 processes impacting oil injected at depth that curtail its subsequent appearance at the
374 surface (Socolofsky et al., 2011; Joye et al., 2011). For this reason, an initial source term
375 was added at the surface of the DWH site: 32.2 L s^{-1} , or approximately 17,500 barrels per
376 day (BPD). This estimate was based on the surface mass balance estimates provided by
377 the National Incident Command, Flow Rate Technical Group (13,000–22,000 BPD)
378 (McNutt et al., 2011). Once again applying a standard reference density for Texas crude,
379 a mass flux of 28 kg oil s^{-1} is added at the grid cell encompassing the DWH site within
380 the model domain.

381 **4.3. Sink term**

382 A simple first-order decay rate estimate provided a sink term to account for
383 evaporation, weathering and removal processes other than physical transport.
384 Evaporation of crude oil has been shown to follow simple decay rate kinetics (Fingas,
385 1995), and evaporation is an important process with respect to mass balance of surface oil
386 (National Research Council, 2003). Given that "Mississippi Canyon 252 crude oil"
387 (Belore et al., 2011) will experience 45% loss from surface evaporation after 2 weeks
388 (ibid.), and given a first-order decay rate relation:

$$\ln\left(\frac{C}{C_0}\right) = -rt \quad (3)$$

389

390 the decay rate constant (r) is $4.9 \times 10^{-7} \text{ s}^{-1}$. The decay term in the model is then simply:

391

$$C = C_0 e^{-rt} \quad (4)$$

392

393 The processes contributing to the weathering and removal of surface oil are multifaceted
394 and complex. Biodegradation may remove some lower molecular weight hydrocarbons
395 from the bulk crude oil on much shorter timescales, whereas higher molecular weight
396 compounds may be much more recalcitrant to biodegradation and weathering processes
397 (Atlas and Hazen, 2011). The point of this numerical simplification is simply to establish
398 the timescale of the overall oil slick degradation. Obviously, some components of the
399 crude would require (r) values in Eq. (4) much larger or smaller than $4.9 \times 10^{-7} \text{ s}^{-1}$;
400 however, incorporating this higher level of complexity into the simulation requires
401 significant expansion of the state variable space, and hence demands for additional
402 detailed knowledge of source concentrations and chemical composition.

403 Given these considerations, the conservation equation for surface oil is given as

404

$$\frac{\partial C}{\partial t} = - \left(\frac{\partial u}{\partial x} + \frac{\partial v}{\partial y} + \frac{\partial w}{\partial z} \right) C + \mathfrak{B} \left(\frac{\partial C}{\partial z} \right) + \alpha(i, j) - rC \quad (5)$$

405

406 where the change in surface oil (C ; mg oil per m^3 ocean surface) with respect to time is
407 the transport calculation plus (\mathfrak{B}), the buoyancy calculation, and the source/sink terms.
408 The source term (α) is zero everywhere except the surface location (i, j) of the DWH site,
409 and r is the universal decay rate constant.

410 **4.4. Regional source/sink simulation results**

411 Large portions of the DWH oil slicks are simulated to entrain into the outer edge
412 of the Loop Current (Animation 2), as indicated by the IASNFS model's sea surface
413 height contours (Fig. 9a). This large anti-cyclonic feature in the northern Gulf is almost

414 pinching off from the Loop Current to form a warm-core eddy. The large extension of oil
415 slick into the Gulf simulated on 17 May is qualitatively similar to the MODIS true color
416 image captured on 17 May (Fig. 9b and c). It is reasonable to conclude that such oil
417 features (once transported into the Loop Current) will likely transit out of the Gulf and
418 into the Florida Straits. Indeed, there was speculation supported by trajectory model
419 evidence during the oil spill that this may potentially occur (Nelson, 2010). As the oil
420 spill proceeded, however, no significant surface oil transport out of the Gulf of Mexico
421 was observed (Liu et al., 2011b).

422 The hindcast simulations suggest two main reasons for this failure to egress the
423 Gulf. First, much of the simulated oil initially transported offshore appears to recirculate
424 within a cyclonic eddy associated with the Loop Current. Walker et al. (2012) refer to
425 this feature as a Loop Current frontal eddy and document its evolution during the oil spill.
426 In our simulation, the remaining surface oil does not genuinely entrain into the outer
427 Loop Current until such time as this larger anti-cyclonic circulation feature has finally
428 detached to form a Loop Current Eddy (LCE). A secondary and augmenting mechanism
429 of Gulf containment in our simulation is the decay rate term that significantly degrades
430 simulated surface oil and thereby reduces its horizontal extent.

431 Regarding the LCE, such anti-cyclonic circulation features frequently detach from
432 the Loop Current and propagate westward in the Gulf (Leben and Born, 1993), and such
433 events are often associated with the appearance of cyclonic circulation features (Biggs et
434 al., 1996). Two cyclonic circulation features are evident on 17 May (Fig. 9a): one where
435 the inchoate LCE appears to be detaching from the Loop Current, and another at the top
436 of the LCE where the leading edge of the simulated oil plume appears to be entering a
437 convergent circulation feature. In the simulation, the surface oil is recirculating within
438 this this feature (Fig. 9a) whereas in the MODIS image the oil “trail” extending into the
439 Gulf appears to be just beginning a turn towards the northeast at its apparent terminus
440 (Fig. 9c). This feature is also depicted in the 17 May NOAA/NESDIS analysis (Fig. 7a).

441 Some ~2 days forward in the simulation, the offshore oil still appears to be
442 circulating in the cyclonic frontal eddy northeast of the main LCE (Fig. 10a).
443 Corroborating evidence of this surface entrapment of oil within a convergent circulation

444 pattern is shown in the 20 May satellite analysis image (Fig. 7b). This general offshore
445 pattern of surface oil persists into 22 May with the addition of some trace amounts of oil
446 penetrating around the periphery of the LCE, which has now finally detached from the
447 Loop Current (Fig. 10b). Note that the contour intervals in the oil plots terminate at 10
448 mg oil m^{-2} of ocean surface. Given the assumptions presented in Section 4.1, this would
449 correspond to surface oil sheen of approximately $0.01 \mu\text{m}$ in thickness. This is below the
450 minimum threshold of surface oil appearance in the BAOAC charts ($0.04 \mu\text{m}$; NOAA
451 OR&R, 2012). Thus only a trace amount of oil appears to finally transit around the
452 periphery of the LCE.

453 To elucidate the potential role of degradation/weathering in the simulated oil
454 distribution, a second experiment was performed wherein the decay rate constant (r) was
455 set to zero: a no loss (NL) simulation. All other aspects of the simulation were identical
456 to the initial case. In the final output frame of both simulations, 17.5 days after the initial
457 start-up, the same overall spatial distribution is evident (Fig. 11). As earlier, some
458 offshore oil is recirculating in a cyclonic frontal eddy northeast of the LCE and some
459 trace of oil is beginning to circulate around the outer edge of the LCE. The leading edge
460 of this oil plume extends approximately 360 km farther in the NL simulation (Fig. 11b).
461 Elsewhere, the NL simulation depicts larger amounts of oil at the surface where oil is
462 present.

463 The role of simulated decay in the surface oil distribution is explored further with
464 a sensitivity analysis of the decay rate constant. The horizontal extent of surface oil in NL
465 simulation (defined by the 10 mg m^{-2} surface oil isopleth) is reduced by 42% when the
466 decay rate constant (r) is present and increased by a factor of four (Fig. 12a). Surface oil
467 concentration is also evaluated at three different locations for the final frame of the
468 simulation (17.5 days): (1) 26 km southwest of the DWH site, (2) in the center of the
469 cyclonic frontal eddy (277 km from DWH), and (3) along the outer edge of the LCE (464
470 km from DWH; Fig. 12). The far field sites (2 and 3) are significantly impacted by the
471 decay rate (Fig. 12b). If (r) is increased by a factor of four then the final concentration for
472 both sites is reduced to below 11% of the corresponding NL simulation value. These
473 results reveal a very large sensitivity for the far field sites between $0.25r$ to $4r$ ($\sim 82\%$ to $<$

474 11%). This corresponds to a half-life decay of 65.5 down to 4.1 days. In contrast, the
475 near field site (1) concentrations are all greater than 60% of the NL value over the entire
476 range of (r) values.

477 **5. Discussion and conclusions**

478 These regional Gulf of Mexico oil spill simulations demonstrate how the southern
479 Florida coastline was spared contact with any significant bolus of surface oil due to the
480 fortuitous arrangement of mesoscale circulation features and the subsequent detachment
481 of a warm-core eddy from the Loop Current. Had this not occurred, however, our
482 simulations suggest that the weathering and decay of the surface oil may have mitigated
483 any potential impact. We note that this analysis is focused on the movement of the main
484 surface oil aggregations; subsurface plumes of oil may have penetrated to peninsular
485 Florida's west coast (Paul et al., 2013). Our decay rate is based principally on the surface
486 crude oil evaporation rate (Belore et al., 2011) — simulated subsurface oil would require
487 a different parameterization.

488 The observed transport of oil around the MRD into the Louisiana Bight, and then
489 ultimately shoreward to Grand Isle was well captured by the COAMPS-based oil
490 forecast. Coastal Louisiana's comparative misfortune was due not merely to its proximity
491 to the DWH site, but also due to the sustained surface conduit provided via a buoyancy-
492 driven current along the Southwest Pass. Due to the unique cross-shelf geomorphology of
493 the MRD, there is very little distance between the MRD and the open Gulf of Mexico.
494 Indeed, the shelf-break between the MRD and the Mississippi Canyon may serve as an
495 important area of cross-shelf water mass exchange. Both simulations and observations of
496 the DWH oil trajectories suggest this is the route the oil took to transgress the outer
497 continental shelf (50–200 m isobaths; see Fig. 1) and precipitate a substantial landfall of
498 oil along Louisiana's coastline.

499 This finding may be critical to understanding future distributions of potential oil
500 spills in the Gulf. In general, currents over the continental shelf (< 200 m depth) have a
501 tendency to flow along isobaths (alongshore) and deep-ocean properties are constrained
502 from transgressing the continental shelf, as predicted by Taylor-Proudman theory (*see*

503 Brink, 1998, *and also* Weisberg and He, 2003). Identification of specific areas and
504 mechanisms that permit ‘open Gulf’ to ‘shelf’ water mass exchanges is required to
505 anticipate the fate of significant oil spills in the major extraction region of the northern
506 Gulf of Mexico. To date, areas in the Gulf where the mesoscale circulation impinges on
507 the shelf and the region around the MRD appear to constitute significant areas of open
508 ocean-to-shelf water mass exchange (Biggs and Muller-Karger, 1994; Weisberg and He,
509 2003; Jolliff et al., 2008). Note that accurate forecasting would then require regional-
510 scale knowledge of the circulation (Loop Current and associated eddies) as well as local
511 scale knowledge of freshwater discharges in the MRD and potentially other sources.

512 These simulations did not consider oil as a distinct surface layer capable of
513 responding to wind stress independently of the ocean surface. Other simulation efforts
514 have attempted to consider this behavior explicitly (Sobey and Barker, 1997; Zelenke et
515 al., 2012). Nonetheless, the qualitative agreement between our simulated 17 May 2010
516 regional oil distribution and the 17 May satellite data (Fig. 7a and Fig. 9b) suggests that
517 this surface layer effect may be less critical when dealing with mesoscale magnitude oil
518 spills in the open ocean. It is not well known how the sea state in the open ocean will
519 modify surface oil slick trajectories given the potential mechanical disruption of the oil
520 slick, particularly at micron-scale thicknesses. There is some evidence that explicit wind-
521 on-oil parameterizations may not be required away from sheltered bays and harbors
522 (Huntley et al., 2011). Other studies seem to suggest explicit wind-on-oil considerations
523 are indeed requisite (Sobey and Barker, 1997; Le Henaff et al., 2012). A more
524 comprehensive modeling treatment would require more detailed knowledge of how oil of
525 varying surface thicknesses and chemical composition will respond to wind forcing, sea
526 state, and the three-dimensional hydrodynamic field.

527 Wind terms notwithstanding, both the COAMPS-based and regional oil spill
528 simulations presented here support the assertion of Liu et al. (2011a): in the practice of
529 oil spill modeling, ocean circulation is fundamental to all else. A key to both sets of
530 experiments is the simulation of hydrodynamic conduits that may expedite the transport
531 of surface materials from the accident site to areas of particular concern. Additional
532 considerations are then contingent upon the scales of time and space under scrutiny. In

533 the COAMPS experiments, simulated landfall at Grand Isle, Louisiana was accelerated
534 by comparatively swift coastal currents ($\sim 1.3 \text{ m s}^{-1}$) contrasted against a background of
535 much more nominal surface current velocities ($\sim 0.2 \text{ m s}^{-1}$). Over a distance on the order
536 of $\sim 100 \text{ km}$, the timescale of transport for materials captured by this current is ~ 21
537 hours. On a regional scale, the Loop Current presents a similar velocity hydrodynamic
538 conduit for surface materials ($\sim 1.2 \text{ m s}^{-1}$). However, a consideration of Loop Current
539 transport of surface materials from the northern Gulf to the Florida Keys and beyond
540 increases the transport length scale by an order-of-magnitude ($\sim 1000 \text{ km}$). The
541 associated transport timescale ($\sim 10 \text{ days}$) is now more commensurate with our estimate
542 of the half-life for surface crude oil ($\sim 16 \text{ days}$; Equation 4). Thus weathering concerns
543 become much more pertinent to surface oil forecasts with the increase in transport
544 time/space scales.

545 A remaining uncertainty in this discussion is the usage of dispersants. Over $6 \times 10^6 \text{ L}$
546 of dispersants were released during the DWH event (Judson et al., 2010). These
547 materials are designed to break up the hydrocarbons so as to accelerate weathering,
548 biodegradation, and physical dispersion. A key remaining question is whether or not
549 dispersants were applied in sufficient quantities to significantly modify the scaling
550 analysis presented above. We note, however, that by modifying/eliminating the
551 buoyancy restoring term and increasing (r) in Eq. (5), our simulations may be able to
552 provide an upper-limit estimate of dispersant effectiveness and mitigation.

553 In conclusion, we have presented a proof-of-concept oil spill transport forecasting
554 method based on the BioCast system and input data from operational ocean circulation
555 models and satellite imaging of the ocean. Given that offshore drilling will continue in
556 the northern Gulf of Mexico for the foreseeable future, it is probable that oil spills of
557 some magnitude may occur again. Shorter term (out to 96 h) surface oil spill forecasting
558 – with particular emphasis on potential landfall/beaching of large oil slicks – is critically
559 dependent on accurate ocean current forecasts and knowledge of where cross-shelf water
560 mass exchanges are likely to occur. In our particular example, this cross-shelf exchange
561 is critically dependent on accurate shoreward fluxes of buoyancy. Longer-term
562 simulations for oil slick transport, likely required when oil spills are of regional scale,

563 need to more carefully consider the intrinsic dynamics of oil weathering processes and
564 potential oil source terms. By considering both the timescales of the degradation
565 processes in concert with material transport pathways driven by the ocean circulation, our
566 simulations did not indicate any significant surface oil contamination beyond the northern
567 Gulf of Mexico. Computer simulations used in the future for oil spill response must
568 consider the timescales of all the processes involved.

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576 **Appendix A. Supplementary data**

577 Supplementary data associated with this article can be found, in online version, at
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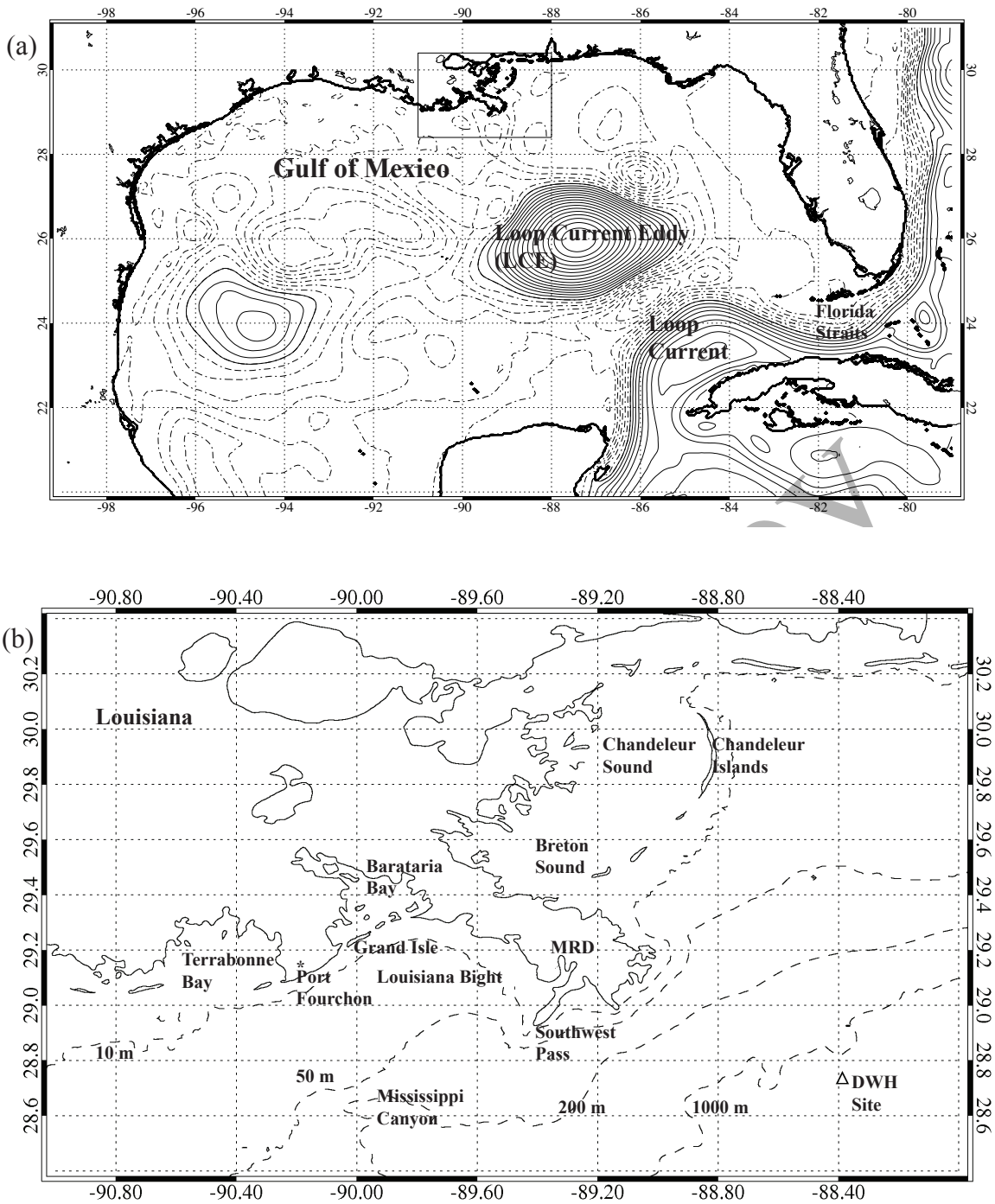


Figure 1. (a) Map of the Gulf of Mexico with Sea Surface Height (SSH) contours (positive solid, negative dashed) provided by the IASNFS (29 May 2010). The Loop Current (LC) and an associated Loop Current Eddy (LCE) are indicated. Inset and (b): detailed map of the Louisiana coastal region near the DWH site. Bathymetry is indicated with dashed lines.

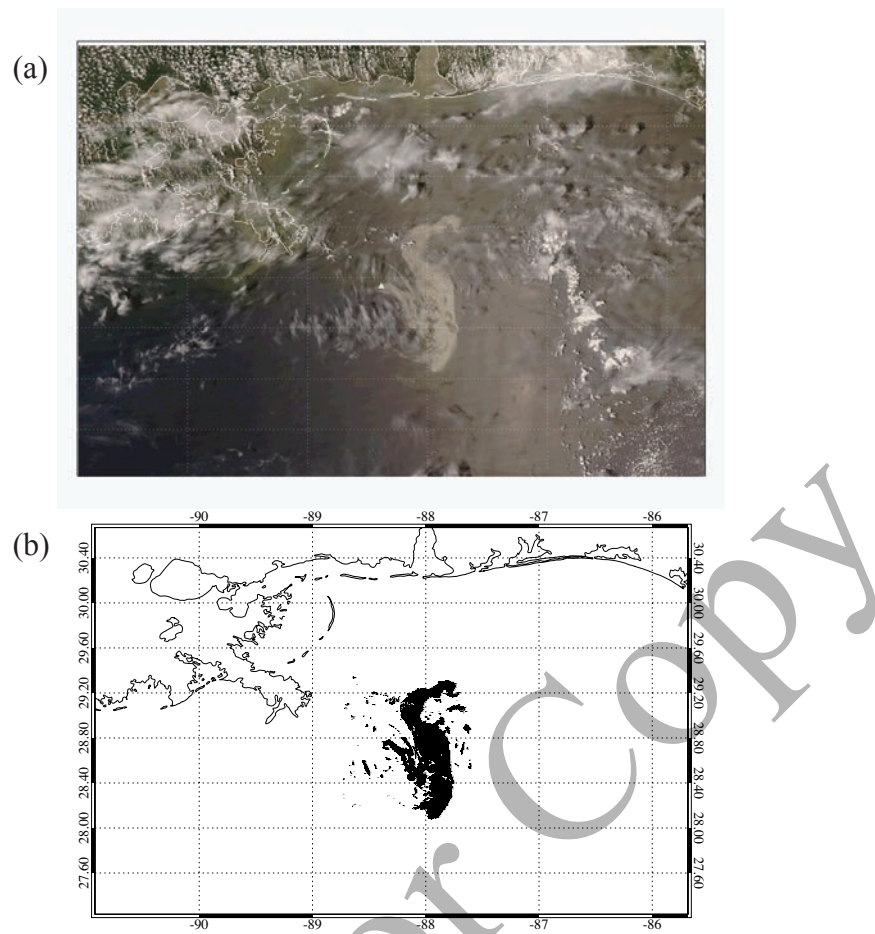


Figure 2. MODIS data acquired 11 May 2010, 18:55 UTC (250 m horizontal resolution) and processed as a true color image is shown in plate (a). In (b) the apparent position of the surface oil slicks are extracted from the image, as explained in the text.

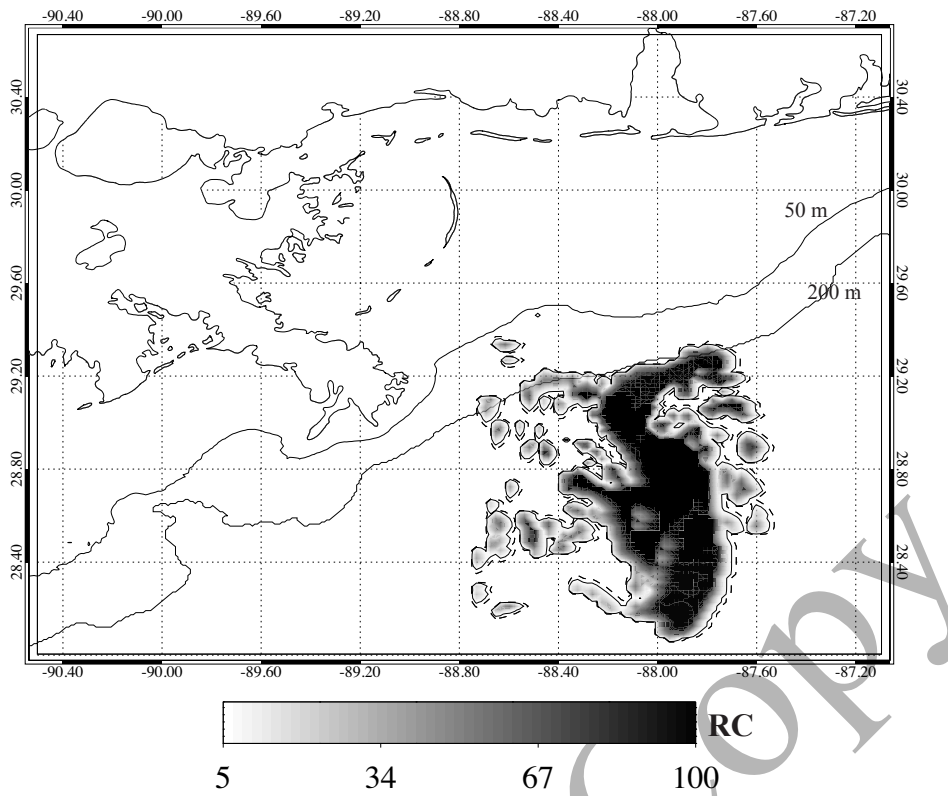


Figure 3. The initial estimate of surface oil slick distribution extracted from the MODIS image is mapped to the inner ocean model domain of COAMPS (500 m horizontal resolution) for the beginning of an oil trajectory forecast. The oil forecast output increment (+1 hour) is shown for 11 May 2010, 2000 UTC. RC is the Relative Concentration, scaled to the initial oil distribution estimate, $RC = 100$. The dashed line indicates $RC = 1$, the solid line begins the contours at $RC = 5$.

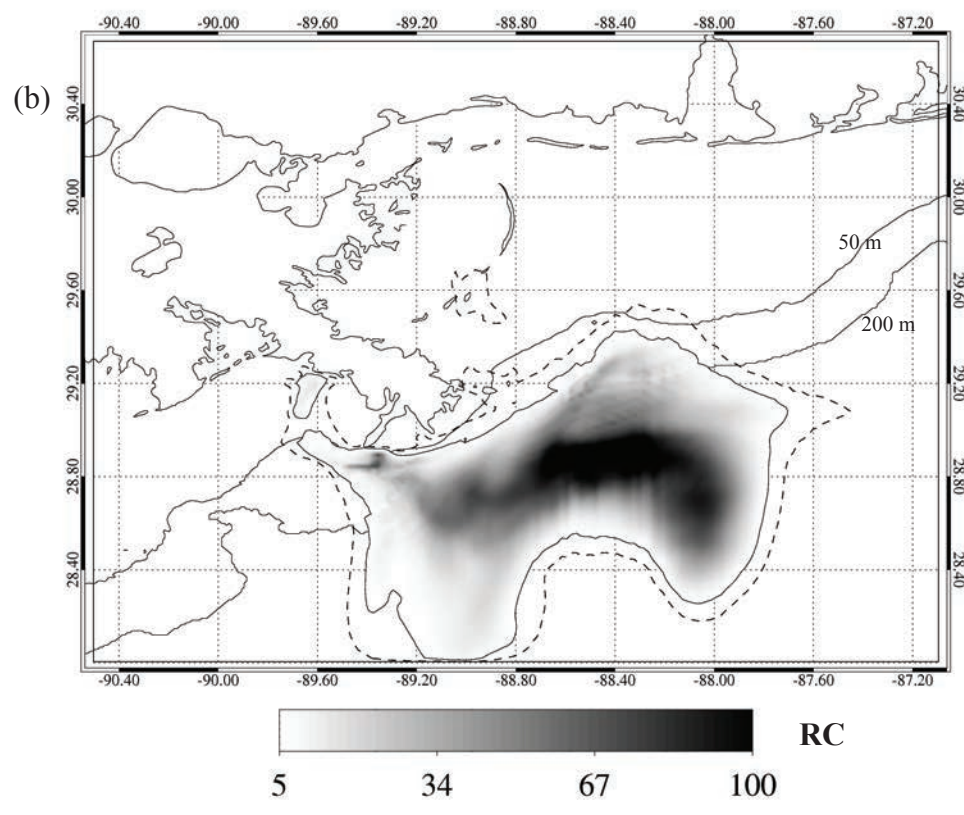
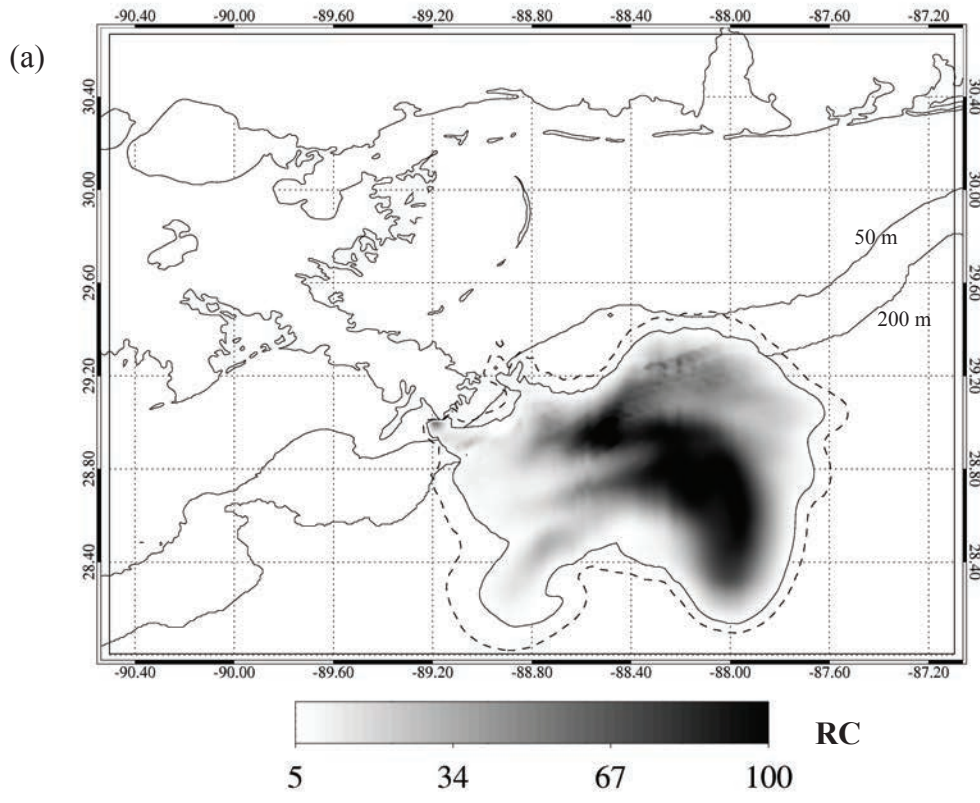


Figure 4. (a) Oil transport forecast for 12 May 2010, 1900 UTC, and (b) oil transport forecast for 13 May 2010, 1900 UTC. The dashed line indicates $RC = 1$, the solid line begins the contours at $RC = 5$.

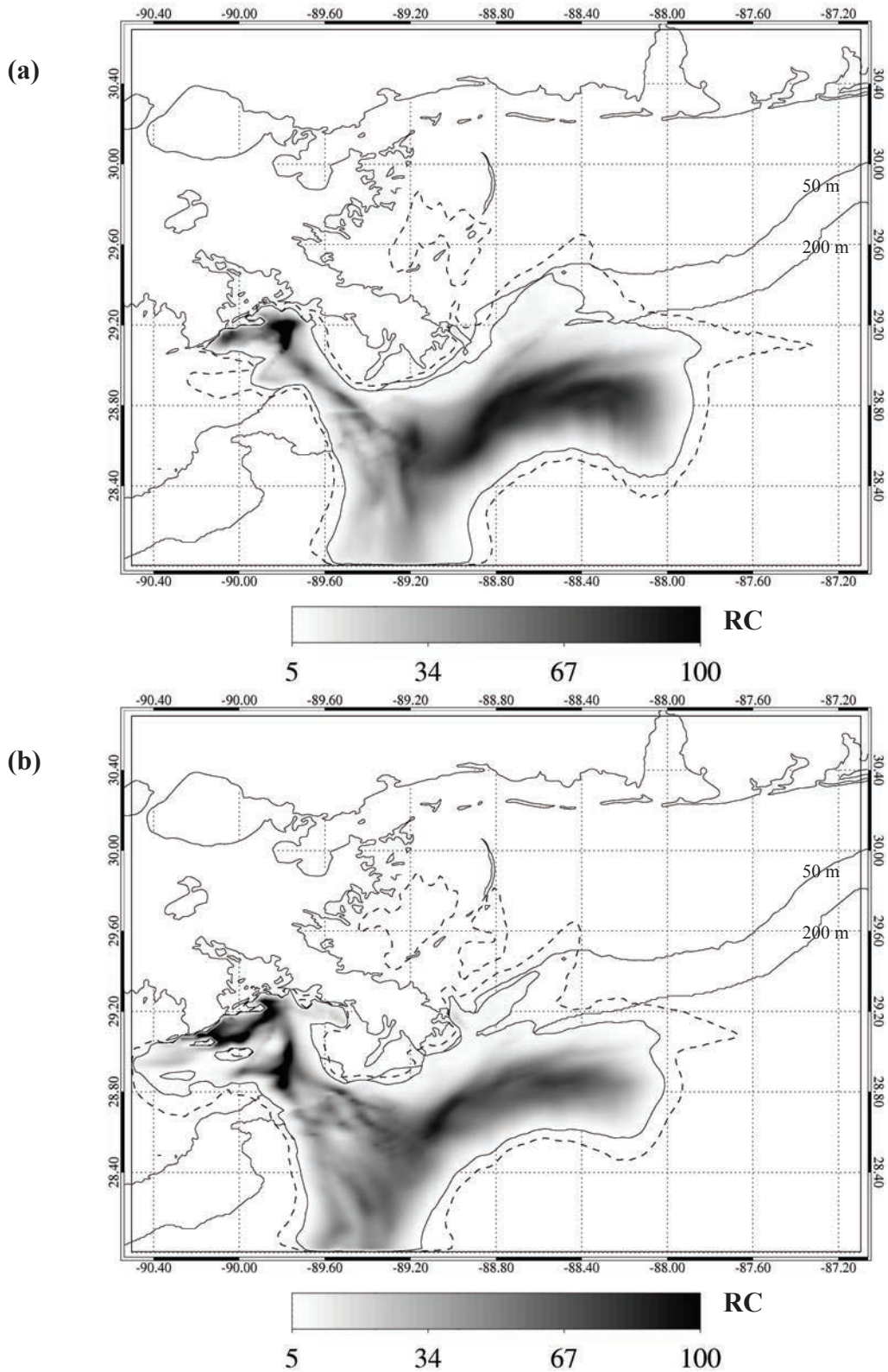


Figure 5. (a) Oil transport forecast for 14 May 2010, 2100 UTC, and (b) oil transport forecast for 15 May 2010, 1900 UTC. The dashed line indicates RC = 1, the solid line begins the contours at RC = 5.

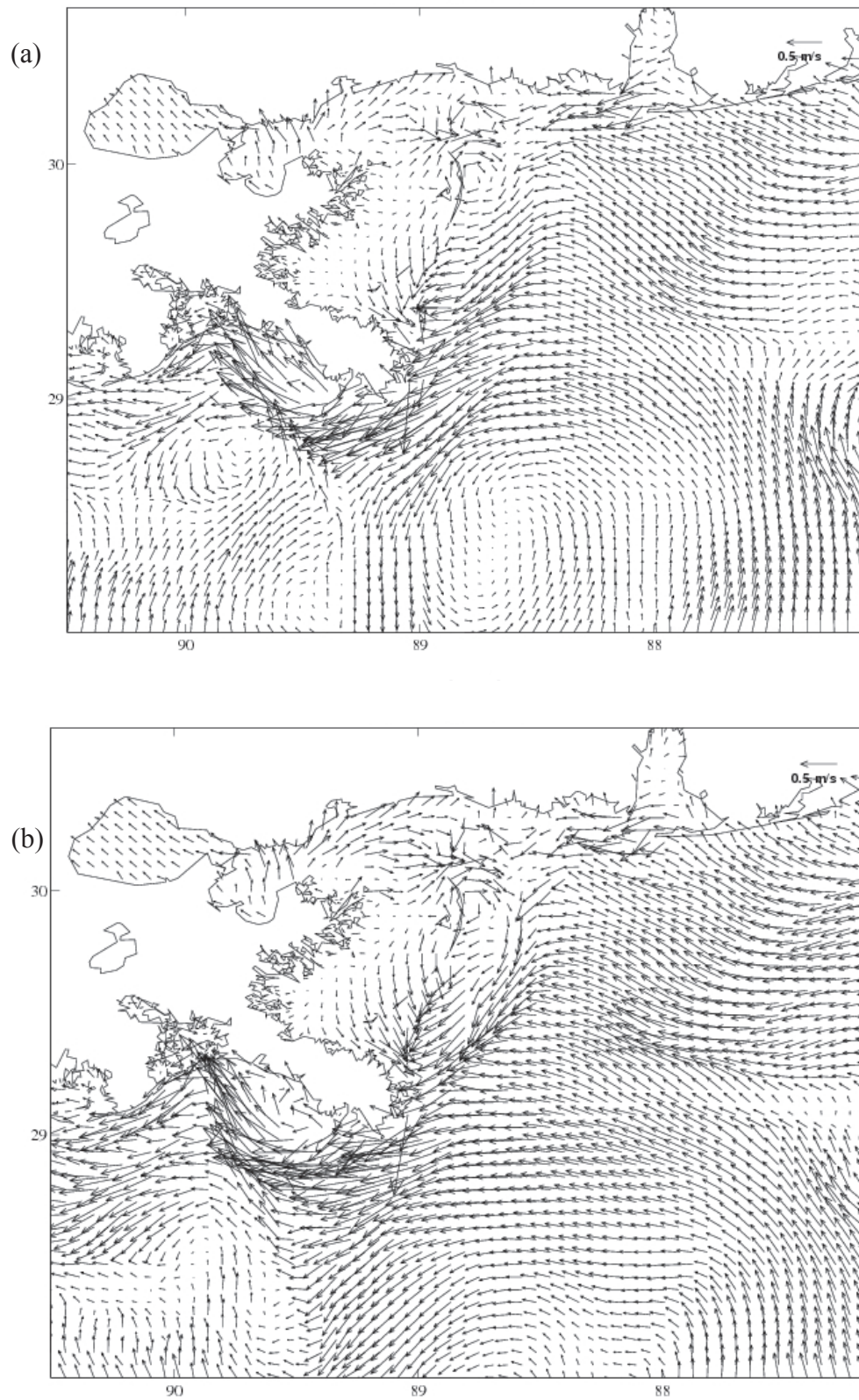


Figure 6. (a) COAMPS surface current velocity field forecast for 14 May 2010, 1700 UTC, and (b) COAMPS surface current velocity field forecast for 14 May 2010, 1700 UTC.

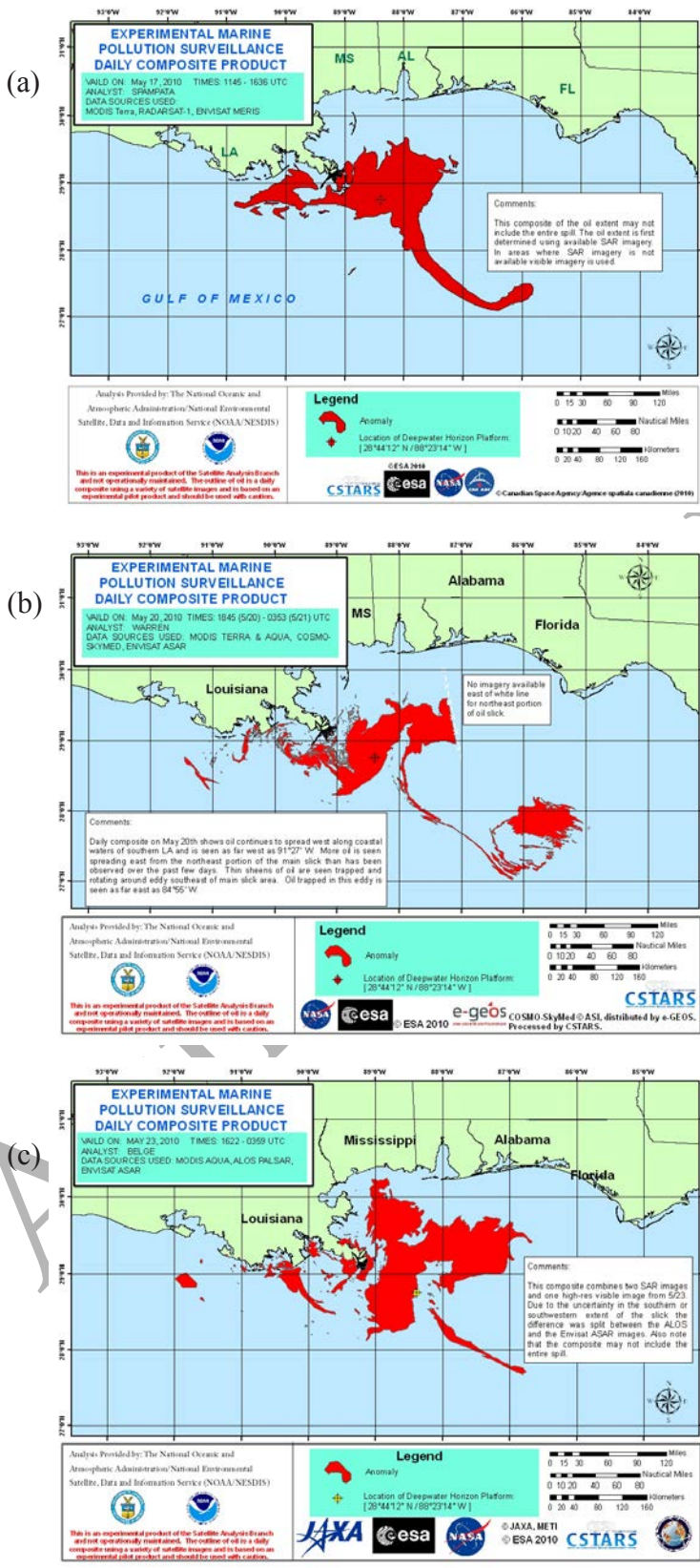


Figure 7. Composite satellite analysis of potential surface oil location obtained from the NOAA/NESDIS archive for (a) 17 May 2010, (b) 20 May 2010, and (c) 23 May 2010.

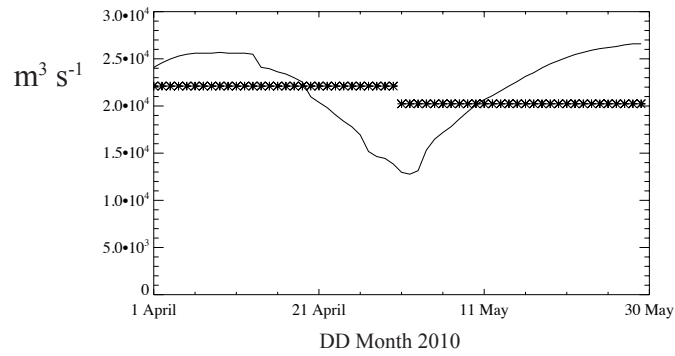


Figure 8. Discharge data (solid line) for Mississippi River at Tarbert Landing (United States Army Corps of Engineers) are shown in cubic meters per second. The asterisks (*) indicate the climatological monthly means used for the COAMPS simulations.

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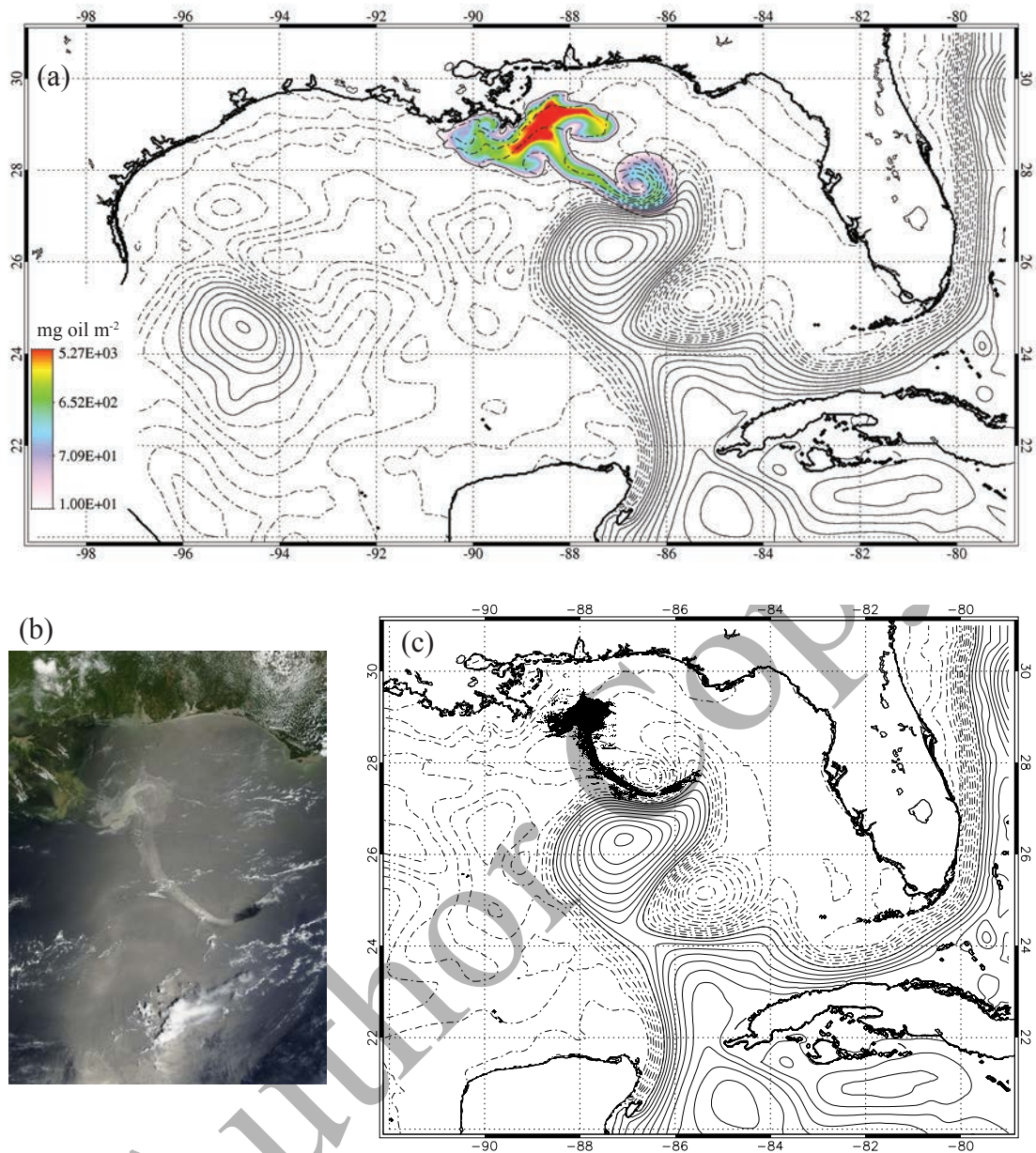


Figure 9. (a) Regional oil model result for 17 May 2010, 1800 UTC. SSH contours (positive solid, negative dashed) provided by the corresponding IASNFS fields. (b) MODIS true color image acquired on 17 May 2010, 1640 UTC. (c) The estimate of visible oil is mapped to the model domain and shown in black.

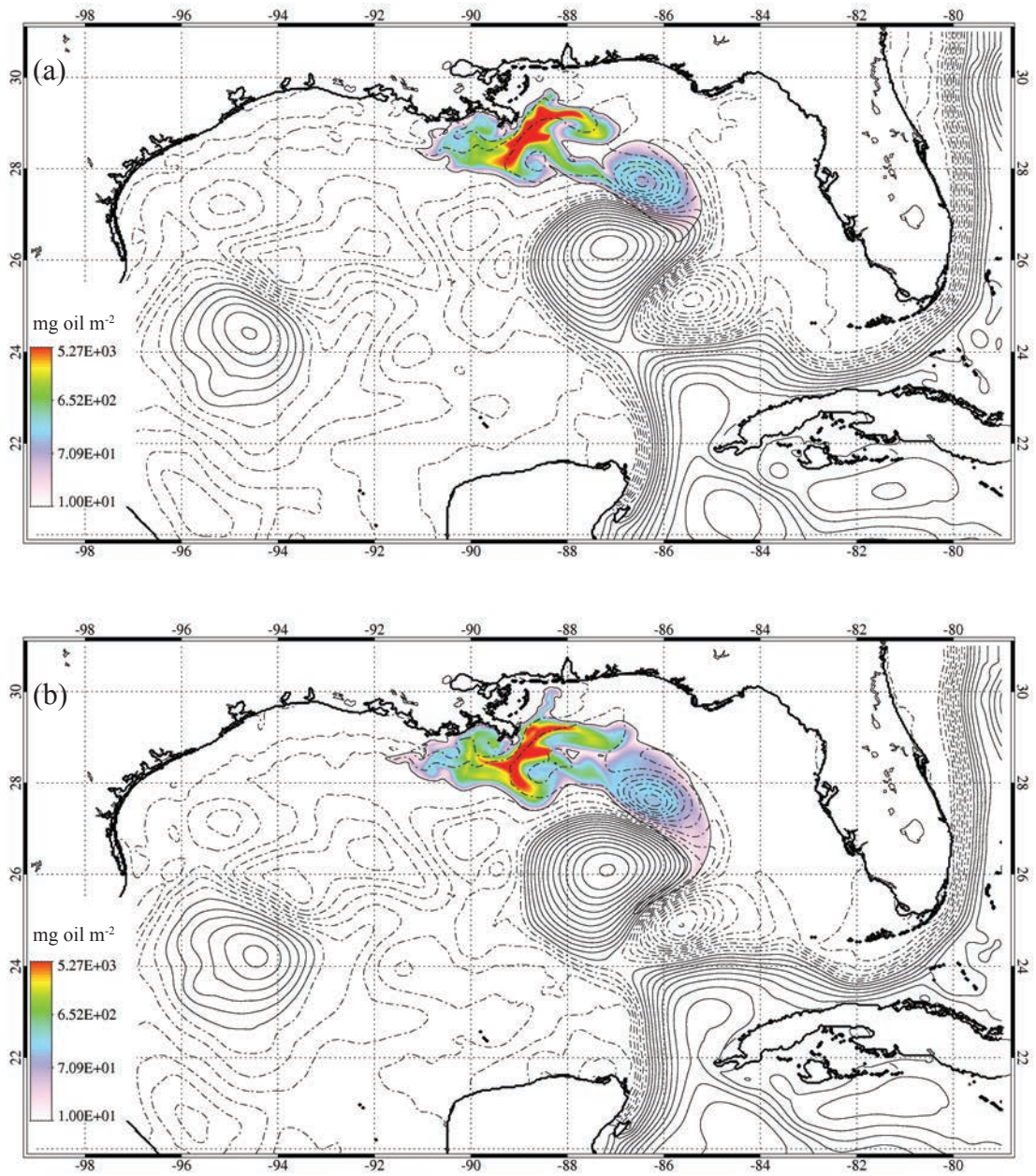


Figure 10. (a) Regional oil model result for 19 May 2010, 1200 UTC. (b) Regional oil model result for 22 May 2010, 0600 UTC.

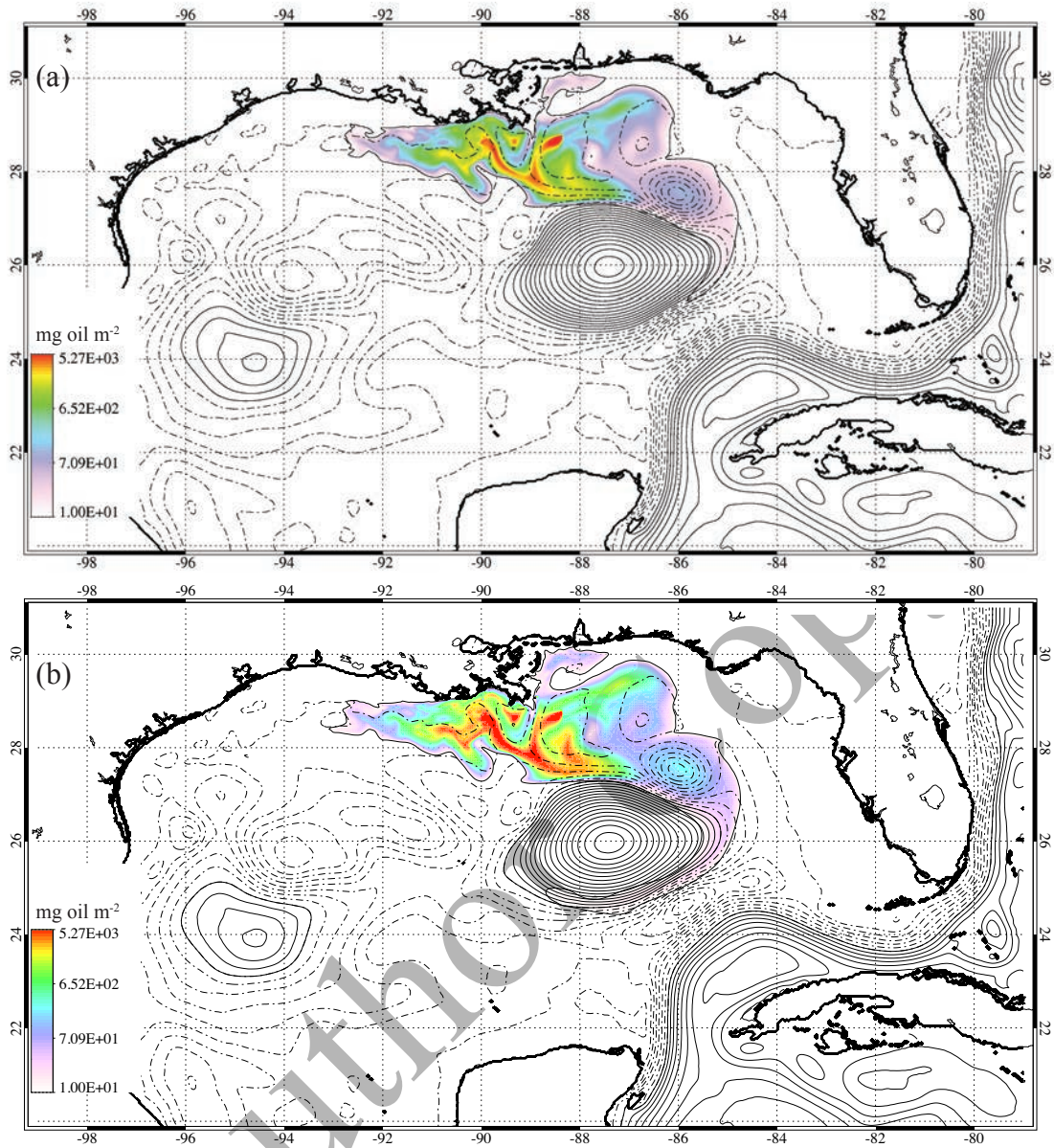


Figure 11. (a) Regional oil model result for 29 May 2010, 0600 UTC. (b) Regional oil model result for the NL simulation 29 May 2010, 0600 UTC.

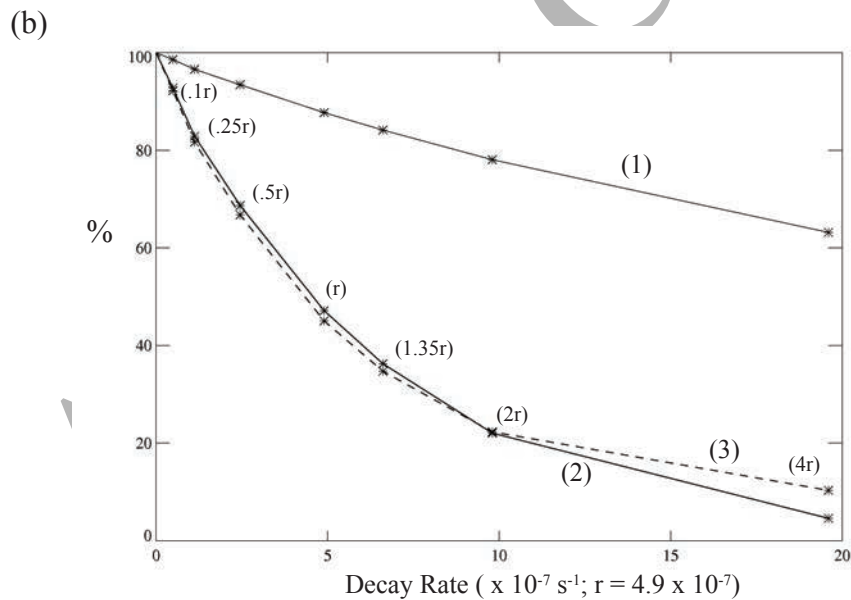
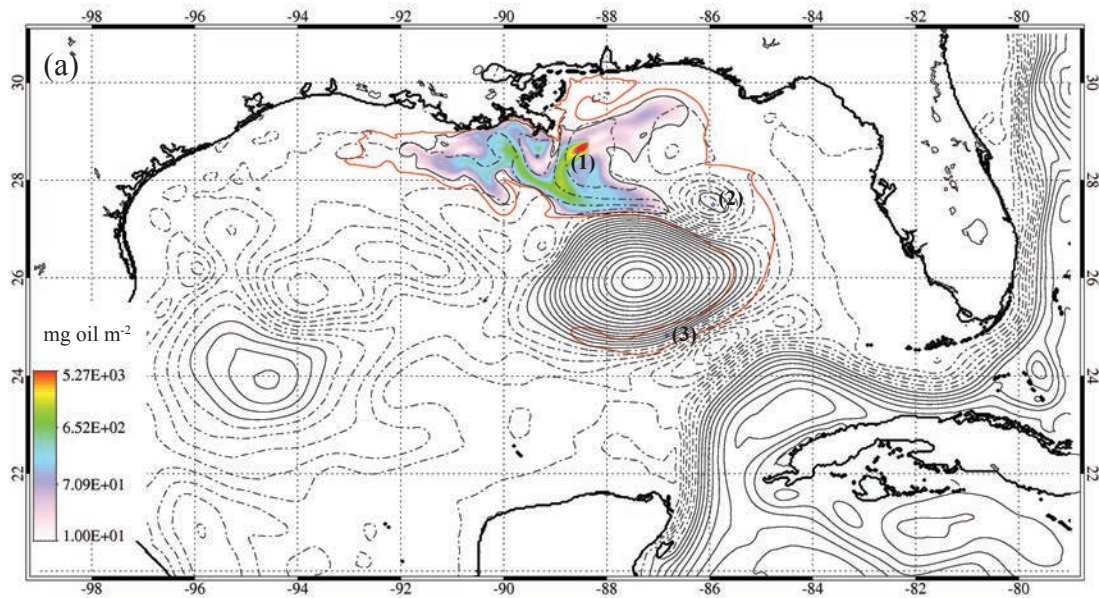


Figure 12. (a) Regional oil model result for 29 May 2010, 0600 UTC using the (4r) decay rate sensitivity simulation is contoured as in Figure 11. The contour of the NL simulation 10 mg oil m⁻² surface isopleth is shown in red. (b) Sensitivity analysis for surface oil concentrations indicated in (a). The surface concentration values for each different simulation (varying values of r) is normalized by the corresponding value in the NL simulation and expressed as a percentage.